BECEIVED CENTRAL PAX CENTER

10718391 - GAU: 1634 NO. 6954 P. 2

JAN 27 2009

PTO/SB/08e (12-05)

Approved for use through 01/21/2005, OMB 0651-0031 U.S. Patent and Tradamark Office; U.S. DEPARTMENT OF COMMERCE Linder the Paperwork Reduction Act of 1995, no persona are required to respond to a collection of information unless it contains a visid OMS control number.

Complete if Known Substitute for form 1449/PTO Application Number 10/718,391 Filing Date NOVEMBER 14, 2003 INFORMATION DISCLOSURE First Named Inventor DEAN L. ENGELHARDT STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) Examiner Name KATHERINE SALMON Attorney Docket Number ENZ-52(C2)

		·		r documents	
ralisis Sambrer	Cite No.	Document Number Number-Kind Code ^{2 (Taken)}	Publication Date MW-DD-YYYY	Name of Patentee or Applicant of Céad Document	Pagsa, Columns, Lines, Where Relevant Passages or Relevant Pigures Appear
7KS/	1	^{U&} 5,591,601	01-1997	WAGNER ET AL.	
W	2	^{US-} 4,843,003	06-1989	HENIKOFF ET AL.	
		US			
		US			
		US-			
		US-			
		US			
		US-			
		US-			***************************************
		US-)) (
		U\$-			
·····		US-			
		US-	····		
		US-			
		US-			
************		U6-			
		US-			
***************************************		US-			
		US-		·	

FOREIGN PATENT DOCUMENTS							
Examiner	Çite No.	Foreign Patent Cocument	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear		
		Country Code ^a Thumber ⁴ "God Codea" (Filtranica)				T	
1851	3	JP 5146299	08-15-1993	TOYO BOSEKI	ABSTRACT ONLY		
						-	
			······			-	
						H	
				***************************************		3	

Example: /Katherine Salmon/ Considered 04/09/2009 Signsture

EXAMPLER: Inttal if retarance considered, whether or not elected is in conformance with MPEP 808. Draw line through clusted if no conformance and not considered, whether or not elected is in conformance with MPEP 808. Draw line through clusted if conformance and not considered, include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional). "See Ninds Codes of USPTO Patent Decuments at wooklastic, or MPEP 901.04. "Enter Office that issued the document, by the two-lotter code (WIFO Standard 87.3)." For lapsacese patent documents, the indication of the year of the reign of the Emperor must precede the schaf number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIFO Standard 87.16 if possible." Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the uspPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 neura to complete, indusing gathering, pressing, and submitting line completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the erround of time you require to complete this form andler suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patern and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

To: Commissioner for Paterts, P.O. Box 1450, Alexandria, VA 22113-1450.

If you need assistance in completing the form, call 1-900-PTO-9199 (1-900-786-9199) and select option 2.

di migunis

ENZO BIOCHEM

RECEIVED CENTRAL PAX CENTER

10718391 - GAU: 1634 NO. 6954 P. 3

JAN 27 2009

PTO/SB/685 (12-08) Approved for use through 01/31/2009, OMB 0851-0631
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

				re required to respond to a collection of information unless it contains a valid OMB control number: Complete if Known			
Substitute for form 1442/PTO				Application Number	10/718,391		
INFORMATION DISCLOSURE				Filling Date	NOVEMBER 14, 2003		
			PPLICANT	First Named Inventor	DEAN L. ENGELHARDT		
				Art Unit	1634		
(Use as many choots an necessary)			ecessary	Examiner Name	KATHERINE SALMON		
Sheet	2	of	2	Attorney Docket Number	ENZ-52(C2)		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
/KS/	4	GAO ET AL, DIRECT IN VIVO GENE TRANSFER TO AIRWAY EPITHELIUM EMPLOYING ADENOVIRUS-POLYLYSINE-DNA COMPLEXES, HUMAN GENE THERAPY 4:17-24,1993	
/KS/	5	WU ET AL., RECEPTOR-MEDIATED GENE DELIVERY, J. BIOL. CHEM., 263:14621-14624, 1988	
/KS/	6	CHEN ET AL., A SELF-INITIATING EUKARYOTIC TRANSIENT GENE EXPRESSION SYSTEM BASED ON COTRANSFECTION NUCLEIC ACIDS RES. 22:2114-2120, 1994	
/KS/	7	SHILATIFARD ET AL., THE RNA POLYMERASE II ELONGATION COMPLEX, ANN REV. BIOCHEM., 72:693-715, 2003	
···	—		
······································	 		
	 		

			Thorn	0.470.070.00
1	Examiner	the state of the s	Date	1 04/03/2008 -
1	Proposition or	/Katherne Salmon/	Considered	
1	Signature	Transmission commission	Considered	<u> </u>
- 1	Carpition Co	***************************************		the hope government was a control

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEF 809. Draw line through citation if not in conformance and not

EXAMINER: Initial if reference considered, whether or not citation is in contaminate with array 60%. Chart in limitary considered, include copy of this form with next communication to applicant,

Applicant's unique citation designation number (cutional). I Applicant is to place a check mark here if English language Translation is standard.

This collection of information is required by 37 CFR 1.98. The information is required to estatin or retain a benefit by the public which is to file (and by the USPTO
This collection of information is required by 37 CFR 1.98. The information is required to estate 2 hours to complete, including
to process) an application. Confidentially is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including
to process) an application. Confidentially is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including
patheting, preparing, are submitting the completed application form to the USPTO. Time will vary depending upon the included case. Any communities on the
patheting, preparing, are submitting the complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and
Trademos Cffice, P.O. Box 1450, Alexandria, VA 22313-1450.

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.